



OCC Information Memos Guide

Version 1.0.0 (Jul 2026)



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We provide research market data for machine learning and quantitative trading



CONTACT US

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TABLE OF CONTENTS

REVISION SUMMARY	4
INTRODUCTION	5
DATA ORGANIZATION AND FILE FORMAT	5



REVISION SUMMARY

Effective Date	Version	Revision Type	Description
Jul 31, 2026	1.0.0	Release	An initial version of the dataset



INTRODUCTION

The OCC Information Memos dataset contains valuable information on changes to options behaviour (symbol changes, contract adjustments, etc.). The most common memo type describes symbol changes and adjustments to the deliverables following a specific corporate event (such as a special dividend, reverse split, demerger, etc.). The event details, together with the list of adjustments, are extracted from memos and grouped into a structured normalized feed.

Memos are official announcements that cover contract adjustments, corporate events, settlement changes, etc., published by the OCC on the [Information Memos](#) page. The key feature of information memos is that they include announcements of option ticker changes, which is useful when you work with a specific portfolio and need to adjust it in time to keep tracking the same security. It can also provide information and guidelines on policy changes and updates that affect option contracts, trading halts, accelerated expirations, etc.

The number of published memos per trading day may vary from a few files to a couple of dozens. The memos are processed at the end of the trading day to create a normalized OCC Information Memos dataset.

The OCC Information Memos data consists of two datasets. The first, and main, dataset includes option contract changes, such as symbol changes, strike adjustments, and delivery changes, when the memo includes any of this information. The dataset is aggregated by the memos' publish date. The second is a lookup file that maps memo ID to its subject. It also includes the memo publish date and the effective date for the changes announced in the memo. The lookup is a single-file dataset.

The OCC Information Memos dataset covers historical data starting in 2012.

DATA ORGANIZATION AND FILE FORMAT

algoseek provides OCC Information Memos data as two separate datasets. The main dataset is provided as plain text CSV files aggregated by trading day. The lookup is a compressed CSV file containing all historical data. The first row of each CSV file is a fixed header, and then rows of data correspond to specific records. Table 1 shows the full list of fields for a few memos in the OCC Information Memos dataset; rows and columns are inverted for the convenience of the document display. Table 2 provides sample data for the OCC Information Memos Lookup.

Table 1: OCC Information Memos Sample Data

Memold	58103	58104	58105
PublishDate	20260102	20260102	20260102
EffectiveDate	20260102		20260105



SymbolChangeDate	20260105		20260105;20260105;20260105
OldSymbol	BFIN	ATXS	1NN;1QQQ;4SPX
NewSymbol	FFBC1	BCRX1	NN;QQQ;SPXW
SymbolAssetType	O	O	O;O;O
SymbolChangeDetail	01/02/2026 - BFIN remains BFIN (with adjusted deliverable described below) 01/05/2026 - BFIN changes to FFBC1	ATXS changes to BCRX1	NextNav Inc 1NN to NN, Invesco QQQ Trust 1QQQ to QQQ, S&P 500 INDEX PM/EURO 4SPX to SPXW
StrikeDivisor	1	1	1
StrikeAdjustment	0.0	0.0	0.0
MultiplierDetail	100 (e.g., a premium of 1.50 yields \$150; a strike of 12.50 yields \$1,250.00)	100 (e.g., a premium of 1.50 yields \$150; a strike of 12.50 yields \$1,250.00)	
Multiplier	100	100	
Deliverables	FFBC:48	BCRX:59;USD:855.00	
UnderSymbolCUSIP	FFBC:320209109	BCRX:09058V103	

Table 2: OCC Information Memos Lookup Sample Data

Memold	PublishDate	EffectiveDate	Subject
58103	20260102	20260102	BankFinancial Corporation - Contract Adjustment
58104	20260102		Astria Therapeutics, Inc. - Anticipated Adjustment
58105	20260102	20260105	Multiple Flex Position Consolidations

Tables 3 and 4 below summarize the name, description, and data type for each data field (column) in the OCC Information Memos and lookup file, respectively. The “Missing” column indicates the default value or behavior when the data field value is unavailable or cannot be determined; “Never” means a value is always present in the data field.

Table 3: OCC Information Memos CSV File Fields Schema

Field	Type (Format)	Missing	Description
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Memold	string	Never	Memo's unique identifier provided by OCC
PublishDate	string (yyyymmdd)	Blank	The date on which the memo was published
EffectiveDate	string (yyyymmdd)	Blank	The date on which the memo's subject is expected to become effective
SymbolChangeDate	string (yyyymmdd;yyyymmdd;...)	Blank	A list of dates when each symbol change becomes effective, separated by semicolon “,”
OldSymbol	string (tricker1;ticker2;...)	Blank	A list of symbols before the event from the memo becomes effective, separated by semicolon “,”
NewSymbol	string (tricker1;ticker2;...)	Blank	A list of symbols after the event from the memo becomes effective, separated by semicolon “,”
SymbolAssetType	string (type1;type2;...)	Blank	Asset types of symbols that are under change (e.g. “O” for equity options and “F” for futures)
SymbolChangeDetail	string	Blank	Symbols that are affected by some event with extra details, as parsed from the memo Note: may contain special characters, e.g., comma “,”
StrikeDivisor	integer	Blank	Strike adjustment factor used to rescale option strike prices during corporate events, such as a stock split
StrikeAdjustment	decimal	Blank	Strike adjustment (in US Dollars) to modify option strike prices during corporate events, such as a special dividend. The amount must be subtracted from the previous strike price
MultiplierDetail	string	Blank	The number of shares the contract represents, with possible extra information, as parsed from the memo Note: may contain special characters, e.g., comma “,”
Multiplier	integer	Blank	The number of shares the contract represents, according to the memo



Deliverables	string (ABC:N;XYZ:M; ...)	Blank	Assets and their amount to be delivered per contract, according to the memo in the “ABC:N” format, separated by semicolon “;”, where ABC is the underlying symbol or the cash currency in ISO format, and N is the number of shares or cash amount Note: when ABC is the underlying security, and N is a decimal number, it describes the amount of fractional shares for cash in lieu
UnderSymbolCUSIP	string (ABC:CUSIP1;XYZ:CUSIP2;...)	Blank	Underlying symbols and their CUSIPs in the “ABC:CUSIP” format as new deliverables, separated by a semicolon “;”, where ABC is the underlying symbol

Table 4: OCC Information Memos Lookup CSV File Fields Schema

Field	Type (Format)	Missing	Description
Memold	string	Never	Memo’s unique identifier provided by OCC
PublishDate	string (yyyymmdd)	Blank	The date on which the memo was published
EffectiveDate	string (yyyymmdd)	Blank	The date on which the memo’s subject is expected to become effective
Subject	string	Never	The topic of the information covered in the memo

Field Values With Commas

The “MultiplierDetail” field is parsed from the memos without additional formatting. It represents the information from the corresponding paragraph in the file pretty accurately.

The “SymbolChangeDetail” field is parsed mostly the same way except when the memo doesn’t describe symbol changes. In that case, the field values follow specific patterns: “Symbol XYZ remains unchanged” for a single symbol, and “Symbols XYZ, ABC remain unchanged” for multiple symbols mentioned in the memo. These patterns are designed to keep the field contextual and descriptive. Therefore, multiple symbols are separated with commas instead of the usual separator for other fields.

It is normal for commas to appear in values for these fields (see Tables 5 and 6, with fields unrelated to the topic removed to keep the table readable). Different text redactors and libraries are designed to read such values correctly, but relying only on commas to separate field values may produce unexpected behavior.

**Table 5: Commas in “MultiplierDetail” Field**

Memoid	PublishDate	MultiplierDetail
58521	20260310	100 (e.g., a premium of 1.50 yields \$150; a strike of 10 yields \$1,000.00)
58582	20260310	100 (e.g., for premium or strike dollar extensions 1.00 will equal \$100)

Table 6: Commas in “SymbolChangeDetail” Field

Memoid	PublishDate	SymbolChangeDetail
58525	20260310	Symbols CEPU1, MGIC1, IMMP remain unchanged
58560	20260317	RIOX changes to RIOX1, 2RIOX changes to 2RIOX1

FLEX Options Symbol Change

The OCC Information Memos also publishes details on FLEX position consolidations. Such memos refer to specific FLEX option series (a specific call/put, expiration date, and strike) that become a part of regular option chains. The FLEX option symbol change usually happens only for the described series. This doesn’t mean the symbol changes completely.

Because of the dataset design, these memos appear as standard symbol change events. The fields “OldSymbol” and “NewSymbol” are populated with the respective symbols described in the memos, even though the change has a slightly more complicated background.

For example, memo [58542](#) describes the consolidation of certain S&P 500 INDEX PM/EURO FLEX series from 4SPX to SPXW. The memo describes the changes in a table (see Table 7), with each series as a separate record, while the dataset record looks shorter and less informative (see Table 8, with fields unrelated to the topic removed to keep the table readable).

Table 7: S&P 500 INDEX PM/EURO FLEX Series Consolidation (Memo 58542)

Name	Old Symbol	New Symbol	Series
S&P 500 INDEX PM/EURO	4SPX	SPXW	C 4/30/2026 4925
S&P 500 INDEX PM/EURO	4SPX	SPXW	C 5/29/2026 5050
S&P 500 INDEX PM/EURO	4SPX	SPXW	C 4/17/2026 5480
S&P 500 INDEX PM/EURO	4SPX	SPXW	C 3/27/2026 5880
S&P 500 INDEX PM/EURO	4SPX	SPXW	C 3/27/2026 5960

**Table 8: S&P 500 INDEX PM/EURO FLEX Series Consolidation in the Dataset**

Memold	PublishDate	OldSymbol	NewSymbol	SymbolChangeDetail
58542	20260316	4SPX	SPXW	4SPX to SPXW